

- 1. NAME:** KEVIN M. O'KEEFE
- 2. CURRENT POSITION:** FINANCIAL ADVISOR, KAPOLEI, HI
- 3. DATE OF BIRTH:** 29 August 1960
- 4. NATIONALITY:** United States
- 5. PERSONAL ADDRESS:** 92-1236 Palahia St #202  
Kapolei, HI 96707
- TEL NO.:** [1] 808- 230-7333
- E-MAIL ADDRESS:** kmokeefe@gmail.com
- 6. EDUCATION:** ABD, doctoral studies in economics, The American University, courses/comprehensive exams completed 1994  
MA studies in International Development, The American University, 1993  
BS, Biological Sciences, State University of New York, Albany, New York 1986
- 7. OTHER:** Hold Series 7, 63, and 66 Securities licenses; Accredited Asset Management Specialist (AAMS)
- 8. PROF. SOCIETIES, ORGS:** American Economics Association, Society for International Development, Kapolei Foundation, Island Pacific Academy Foundation
- 9. PUBLICATIONS/REPORTS:** Publications and major reports: 21 (see below)
- 10. COUNTRIES OF WORK EXPERIENCE:** Asia/Pacific: Cook Islands, Federated States of Micronesia (FSM), Fiji, Kiribati, Marshall Islands, Palau, Papua New Guinea, Tokelau, Samoa, Saipan (CNMI), Tonga, Tuvalu, Vietnam; Other: Egypt, Ghana, Nicaragua, Turkey
- 11. LANGUAGES:** English: Native; Samoan: Fluent; Melanesian Pidgin: Fluent
- 12. EMPLOYMENT RECORD:**
- |                      |  |
|----------------------|--|
| FROM: SEPTEMBER 2004 | TO: PRESENT  |
| EMPLOYER:            | EDWARD JONES INVESTMENTS   |
| CONTACT INFO:        | THE MARKETPLACE AT KAPOLEI<br>590 FARRINGTON HWY #515<br>KAPOLEI, HI 96707<br>[1] 808-674-0200 |
- POSITION HELD:** FINANCIAL ADVISOR TO INDIVIDUAL, BUSINESS AND INSTITUTIONAL CLIENTS

PRIOR WORK HISTORY:

FROM: MARCH 2007

SPONSOR OF VOLUNTEER &  
STIPEND CONSULTANCIES:

PROGRAM MANAGER:

(CONTACT AUTHORIZED)

TO: PRESENT

GRADUATE SCHOOL USA

JASON AUBUCHON, PROGRAM MANAGER

900 FORT STREET MALL, SUITE 1540

HONOLULU, HI 96813 [1] 808-772-5772; [JASON@PITIVITI.ORG](mailto:JASON@PITIVITI.ORG)

VARIOUS CONSULTANCIES AS ADVISER/MACROECONOMIST

PROJECT:

POSITION HELD:

Provided advice and completed over two dozen short-term advisory support visits over the period since 2007 upon request of officials within the FSM, RMI, and the Republic of Palau and as approved outside activities, including: Fiscal Adjustment/Reform Programs in Chuuk State, Kosrae State, and the RMI; annual Compact Management Support and Trust Fund Analysis and Presentations for the FSM and RMI; Support to the Chuuk Public Utilities Corporation; Mediation of FSM-wide Compact Infrastructure Sector Grant Management and Implementation; Review of the Compact Funds Control Commission (Chuuk State); Development and Implementation of the Project-Based Proposal Process for Compact (small) sector grants; Review and Recommendations for Yap State Public Sector Wage Reform; Support to the Government of Palau in their Compact Review Process with the US Government.

FROM: MAY 1995

EMPLOYER:

SUPERVISOR:

(CONTACT AUTHORIZED)

TO: AUGUST 2004

BOSTON INSTITUTE FOR DEVELOPING ECONOMIES, LTD.

SEBASTIAN ANEFAL, SECRETARY (MINISTER) OF ECONOMIC AFFAIRS  
PO BOX 12

PALIKIR, POHNPEI, FM 96941 [691] 320-2646; [SANEFAL@MAIL.FM](mailto:SANEFAL@MAIL.FM)

ECONOMIC MANAGEMENT POLICY ADVISORY TEAM (EMPAT), FSM

RESIDENT ADVISER/MACROECONOMIST

PROJECT:

POSITION HELD:

Macroeconomic policy adviser to five governments in FSM as part of an Asian Development Bank TA. Supervised and provided on-the-job training to five Micronesian economists on the Team and counterparts in the five Governments. Supervised the graduate studies program of five counterparts. Supported Bank staff in design and implementation of two policy-based program loans, including policies on expenditure, tax policy, civil service restructuring, privatization, investment and financial sector policies, policies to mitigate the impacts of reform, and policies to promote private sector development. Assisted governments to develop overall economic strategy through National and State Economic Summits in 1995, 1999 and 2004. Supported FSM's participation in four Consultative Group Donors meetings. Advised on improvements of the environment for private sector investment. Advised on ways to improve structure and efficiency of the FSM tax regime. Advised on core elements of improved Compact assistance package for future economic provisions and provided analytical support in comparing the impact of the alternative proposals presented in bi-lateral negotiations. Recommended the creation of Economic Policy Implementation Council for inter-governmental policy dialogue and supported the process from establishment through initial nine meetings. Advised national, state, and municipal level officials on economic management issues including: policy analysis, financial and performance budgets, banking and financial regulatory systems, tax policy and tax administration, privatization of public energy and water utilities and commercial fishing enterprises, national and regional trade policy including Pacific regional integration, private sector policy regime and competitiveness policy, foreign investment regime and commercial legal environment.

FROM: NOVEMBER 2000  
EMPLOYER:  
PROJECT:  
SUPERVISOR:  
(CONTACT AUTHORIZED)

TO: FEBRUARY 2002  
BOSTON INSTITUTE FOR DEVELOPING ECONOMIES, LTD.  
COOK ISLANDS ECONOMIC CONSULTANCIES (3)  
GUSTAV F. PAPANEK  
PRESIDENT, BIDE  
2 MASON STREET  
LEXINGTON, MA 02421 USA  
(781) 862-7776; [PAPANEK@BIDE.COM](mailto:PAPANEK@BIDE.COM)

POSITION HELD:

TEAM LEADER (1) AND MACROECONOMIC ADVISER (2)

(i) Contracted for 3 months through BIDE by the ADB as team leader to coordinate the Cook Islands Pacific Islands Economic Report (PIER). Responsible for macroeconomic, financial sector, public sector reform and privatization, tourism sector, and medium-term scenario chapters. The report provides a comprehensive, policy-focused assessment of the economy based on extensive and participatory consultations throughout the islands. As team leader, managed the inputs of the three-member team of economists and led presentations to an Economic Forum and to Cabinet.

(ii) Contracted for 1 month through BIDE by the ADB as macroeconomic adviser to support the Government of the Cook Islands in preparing for and hosting its 4<sup>th</sup> Consultative Group (CG) of Donors meeting in June 2001. Prepared drafts of the Government's reports to the donor community and presented to national Cabinet for review and endorsement. Compiled proceedings and followed up meeting with presentation to Cabinet advising on post-CG actions for policy consideration (including joining World Bank and IMF).

(iii) Contracted for 2 months through BIDE by the ADB to assist the staff of the economic policy and planning unit of the Ministry of Finance and Economic Management to draft a statement of economic strategy, to improve the analytical content of their economic and fiscal reports. Proposed strategy and economic management monitoring plan was adopted formally by Cabinet and included in the Prime Minister's annual budget and economic policy statement.

FROM: AUGUST 1994  
EMPLOYER:  
POSITION HELD:

TO: MARCH 1995  
UNITED NATIONS DEVELOPMENT PROGRAMME (UNDP)  
ECONOMIC PERFORMANCE MONITORING SPECIALIST

Served on a team to design strategic planning and performance monitoring system for United Nations Development Program country missions in three pilot countries (Vietnam, Turkey, and Ghana). Used a system based on USAID performance monitoring lessons learned. Assisted in strategy development (reformulation) and established measurable indicators for economic growth component of the country programs.

FROM: 1991  
EMPLOYER:  
POSITION HELD:

TO: APRIL 1995  
DEVELOPMENT STUDIES PROGRAM OF THE AMERICAN UNIVERSITY  
CORE FACULTY MEMBER

Served as research fellow with the status of core faculty member for the Development Studies Program, which provided training in economic and development policies to senior, mid-career employees of USAID. Lectured on macroeconomic policy, trade policy, statistics, data analysis, and social sector policy issues. Through this experience gained a broad understanding of headquarter and mission-based initiatives for broad-based sustainable development and economic growth. Training included review and critique of ongoing programs and projects in the area of economic growth. Assisted participants in country performance reviews that, in some cases, were used subsequently in country strategy statements and mission reports.

FROM: NOVEMBER 1993                      TO: AUGUST 1994 (INTERMITTENT)  
EMPLOYER:                                    USAID, WASHINGTON, DC  
POSITION HELD:                              ECONOMIST

- (i) Prepared and facilitated Seminar session on lessons learned and best practices in strategic planning and performance measurement in the area of economic growth focused on measuring people-level impacts of economic reform programs (August 1994).
- (ii) Lectured on Broad-Based Sustainable Development and the international context of development for USAID international development interns undergoing New-Entry Training (Jan 1994 and July-Aug 1994).
- (iii) Served as economist on a multi-disciplinary team to do qualitative assessments of strategic plans for 43 USAID Missions designated as “Sustainable Development” missions (June-July 1994).
- (iv) Economic research for study of export and investment promotion in South Korea entitled *Can Export Services Assistance Make a Difference? The Korean Experience*, AID Tech. Report No. 7 (Jan-Feb 1993).
- (v) Served as economist and wrote chapter on economic growth performance across all USAID Missions for the Annual Report to the USAID Administrator (November-December 1993).

FROM: MAY 1994                              TO: JUNE 1994  
EMPLOYER:                                    USAID/EGYPT  
POSITION HELD:                              ECONOMIST

Provided assistance to USAID/Egypt in monitoring program performance of its strategies in the area of economic growth and developed a preliminary framework for assessing the people-level impact of economic policy reform activities.

FROM: JANUARY 1994                        TO: FEBRUARY 1994  
EMPLOYER:                                    USAID/NICARAGUA  
POSITION HELD:                              ECONOMIST

Provided assistance to the mission in reconsidering strategic objectives in the area of economic growth in light of decreasing Economic Security Funding, legislative restrictions on private sector assistance, and new strategy and guidelines from USAID headquarters.

FROM: APRIL 1992                          TO: MAY 1992  
EMPLOYER:                                    US PEACE CORPS  
POSITION HELD:                              EVALUATION CONSULTANT

Consultancy to evaluate the Outer Islands Primary Education Program of Peace Corps in Kiribati.

FROM: 1987                                    TO: 1990  
EMPLOYER:                                    PEACE CORPS/PAPUA NEW GUINEA  
POSITION HELD:                              PROGRAMMING AND TRAINING OFFICER

Managed development projects utilizing Peace Corps Volunteers in Community Development, Agricultural Economics, Development Banking, and Education throughout Papua New Guinea.

FROM: 1985                                    TO: 1987  
EMPLOYER:                                    PEACE CORPS/WASHINGTON, DC  
POSITION HELD:                              PACIFIC ISLAND, COUNTRY DESK OFFICER FOR PACIFIC ISLANDS, AND ASSOCIATE DIRECTOR/WESTERN SAMOA AND COOK ISLANDS (TDY OVERSEAS).

FROM: 1982  
EMPLOYER:  
POSITION HELD:

TO: 1984  
PEACE CORPS/(WESTERN) SAMOA  
PEACE CORPS VOLUNTEER

Taught Science and chaired the Science Department at St. Joseph's College in (Western) Samoa. Selected to serve for two years on the (Western) Samoa Volunteer Council. Coached Western Samoa National Men's and Women's Tennis teams for the 1983 South Pacific Games, hosted in Apia.

#### 14. PUBLICATIONS & MAJOR REPORTS

*Cook Islands Economic Report.* Team Leader for economic study (monograph) for Asian Development Bank, Office of Pacific Operations under the Pacific Island Economic Report (PIER) series, completed March 2002, published September 2002.

*FSM Economic Review.* Supervisor and co-author of annual macroeconomic and fiscal review of the economy. Department of Economic Affairs, Palikir, FSM, October 2000, October 2001, October 2002, and November 2003.

*FSM Economic Policy Notes.* Authored a series of eighteen (18) policy notes presented to Cabinet, Legislatures and State/National Leadership meetings covering: (i) Customs Act Reform; (ii) Elimination of Customs and Gross Revenue Tax exemptions; (iii) Reforming State Power and Water Corporations; (iv) Chuuk State Financial Recovery Program; (v) Trade Regime Options for the FSM: Regional Integration and WTO Accession; (vi) Establishing an FSM Trust Fund; (vii) Financial Sector Reform and Usury Law Reform; (viii) Introduction of a VAT; (ix) Trade Policy for Export Promotion; (x) Foreign Investment Policy Regime: Next Steps; (xi) Reforming Inter-Governmental Revenue Sharing; (xii) Recommending Fiscal Sterilization of Increased Compact Transfers in FY2002-2003; (xiii) Comprehensive Tax and Revenue Reform; (xiv) Fishing Access Fee Management Regime and Revenue Sharing; (xv) Transforming State and National Fisheries Enterprises; (xvi) Kosrae State Fiscal Policy Reform; (xvii) Assessing the Proposed National Government Pension Plan; (xviii) Pohnpei State Tax Reform and Fiscal Policy.

*FSM Economic Management Technical Notes.* Co-authored a series of four (4) technical papers comparing the US and FSM economic assistance packages during ongoing negotiations to renew economic support under the Compact of Free Association between the two nations; included macroeconomic forecasts and assessments of economic impacts under the proposed packages. Department of Economic Affairs, Palikir, FSM, February 2001, March 2002, June 2002, November 2002.

*Economic Insights.* Authored a series of ten (10) columns on economic issues in The Island Tribune, Pohnpei, FSM, from May-November 1998. (i) Why is the FSM Economy in Trouble?; (ii) What is the FSM's Economic Strategy?; (iii) Can Government Save Money by Spending Money—Or is the ERP a Sensible Program?; (iv) Why is Foreign Investment Important to the FSM?; (v) An FSM Trust Fund—Better Late than Never; (vi) Should Government Pay Import Taxes, Too?; (vii) The Asian Crisis—How Bad is it for the FSM?; (viii) A Healthy Banking Sector—A Foundation for Economic Growth; (ix) Banking Sector Reform—A Positive Progress Report; (x) Yap Airport Repair—A Successful Model for the FSM.

*Assessing the People-level Impact of Policy Reform Programs:* Literature Review and Recommendations for USAID/Egypt. Report prepared for USAID/Egypt and the Asia/Near East Bureau under the PRISM Project for the USAID Center for Development Information and Evaluation, (Draft) July 1994.

*Broad-Based Sustainable Development: The Internal Balance Component,* prepared as a Development Studies Program Working Paper and core component of a computer-assisted instructional Development Studies training module (USAID), Institute for International Research and The American University), July 1994.

*Monitoring Program Performance: USAID/Egypt's Strategic Objectives in Support of Broad-Based Economic Growth,* with Lynn Carter. Report prepared for USAID/Egypt under the PRISM project, June 1994.

*Broad-Based Sustainable Development: Investing in Education,* prepared as a Development Studies Program Working Paper and core component of a computer-assisted instructional Development Studies training module, June 1994.

*Non-Project Assistance and the Environment: Do (or Can) All Good Things Go Together?* with Michael T. Rock. Paper prepared for USAID AIR/ARTS (Winrock International Policy Center Discussion Paper), May 1994.

*Broad-Based Sustainable Development: the External Balance Component,* prepared as Development Studies Program

Working Paper and core component of a computer-assisted instructional Development Studies training module, April 1994.

*Monitoring Program Performance: Strategic Objectives in Support of Broad-Based Economic Growth*, with Pat Vondal. Report prepared for USAID/Nicaragua under the PRISM project for the AID Center for Development Information and Evaluation, February 1994.

*Economic Growth*, chapter three in Annual Report to the Administrator on Mission Performance as of June 30, 1993, with CIDE/PRISM staff, January 1994.

*Broad-Based Sustainable Development: The External Equilibrium Component*, prepared as a Development Studies Program Working Paper (USAID/IIR/The American University), January 1994.

*The Evolution of Development Economics*, with James H. Weaver, prepared as a Development Studies Program Working Paper, June 1993.

*Analytical Methods for Country Analysis: A Manual for Development Practitioners*, prepared for the Development Studies Program (USAID/IIR/The American University), June 1993.

*Evaluation of the Primary Education Program: Peace Corps/Kiribati*, final report of evaluation consultancy for Peace Corps Office of Training and Program Support, April-May 1992.

*Whither Development Economics*, published with James H. Weaver in *SAIS Review*, Washington, D.C.: Johns Hopkins University School of Advanced International Studies, Summer 1991, p. 113-132.